

North Yorkshire County Council**Pension Board****18 July 2019****Work Programme****1.0 Purpose of the Report**

To detail the areas of planned work by the Pension Board

2.0 Future Activity

Previous reports to the Board have set out a number of areas that could be identified as potential priority areas of work for Board Members to provide scoping reports to subsequent meetings. At the previous meeting it was suggested that consideration be given as to how to progress project work more effectively before undertaking any further projects. Further consideration will be given to this matter, going forward.

Resources would be made available, via relevant Officers, to assist Board Members with their approach to the development of projects subsequently identified.

3.0 Meeting Dates

The dates for ordinary meetings of the Pension Board, until the end of the 2019/20 Municipal Year, are as follows:-

All Thursdays at 10am

3 October 2019 (date changed from 10 October to avoid clash with BCPP
Conference)

16 January 2020

9 April 2020

4.0 Recommendations

That members:

- i) Review and agree any updates to the Work Plan (as set out in Appendix 1);
- ii) Note the dates of ordinary meetings as detailed.

Barry Khan
Assistant Chief Executive (Legal and Democratic Services)
County Hall
Northallerton

Background Papers - None

PENSION BOARD WORK PLAN

APPENDIX 1

| | | 17-Jan-19 | 11-Apr-19 | 18-Jul-19 | 03-Oct-19 | 16-Jan-20 | 09-Apr-20 | (TBC)July 20 |
|---|---|-----------|-----------|-----------|-----------|-----------|-----------|--------------|
| 1 | Agree plan for the year | ✓ | | | | ✓ | | |
| 2 | Review Terms of Reference | | | ✓ | | ✓ | | |
| 3 | Review performance against the plan | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | |
| 4 | Report to the PFC / NYCC | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | |
| 5 | Report to Scheme Advisory Board / MHCLG | | | ✓ | | | | |

Compliance checks

| | | | | | | | | |
|----|--|---|---|---|---|---|---|--|
| 6 | Review the compliance of scheme employers | | | | | | | |
| 7 | Review such documentation as is required by the Regulations | | ✓ | | | | ✓ | |
| 8 | Review the outcome of internal audit reports | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | |
| 9 | Review the outcome of external audit reports | ✓ | | | ✓ | | | |
| 10 | Review annual report | | | ✓ | | | | |
| 11 | Review the compliance of particular issues on request of the PFC – as required | | | | | | | |
| 12 | Review the outcome of actuarial reporting and valuations – every three years | | | | | | | |
| 13 | Assist with compliance with the UK Stewardship Code | | | | | | | |

Administration procedures and performance

| | | | | | | | | |
|----|--|---|---|---|---|---|---|---|
| 14 | Review and assist with admin/governance procedures/processes-including monitoring performance admin/governance | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | |
| 15 | Annual review of the Internal Dispute Resolution Process, Policy and cases | | | ✓ | | | | ✓ |
| 16 | Annual review of cases referred to the Pensions Ombudsman | | | ✓ | | | ✓ | ✓ |
| 17 | Review the exercise of employer and administering authority discretions | | | ✓ | | | | |
| 18 | Assist with the development of improved customer services | | | | | | | |
| 19 | Review processes for the appointment of advisors and suppliers | | | | | | | |
| 20 | Review the risk register and management of risk processes and procedure | ✓ | | ✓ | | ✓ | | |
| 21 | Assist with the development of improved structures and policies | | | | | | | |
| 22 | Assist in assessing process improvements on request of PFC | | | | | | | |
| 23 | Pooling arrangements and governance | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | |

Communications

| | | | | | | | | |
|----|--|--|--|--|--|--|--|--|
| 24 | Review scheme member and employer communications | | | | | | | |
|----|--|--|--|--|--|--|--|--|

Training

| | | | | | | | | |
|----|---|---|---|---|---|---|---|--|
| 25 | Review Pension Board knowledge and skills self-assessment | | ✓ | ✓ | | ✓ | | |
| 26 | Review training log | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | |
| 27 | Review training arrangements for the Board and other groups | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | |

